

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: NAULT, Jacques

Group Art Unit: 3627

Serial No. 09/736,345

Examiner: KRAMER, James A.

Filed: 12/15/02

Dkt No: NAU14545-1

For: FINANCIAL STATEMENT MODULE

To: Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

From:

24222

CLIENT: *245 for*  
NOV: *245 for*  
JOB# *245 for*  
NRU14545  
RCVD: *2/11/04*  
HSPBY: *245 for*  
RCVDBY: *DAS*  
FSUM: *DAS*  
CAL: *✓*  
DKT: *✓*  
NOTICE: *✓*  
T/S: *✓*

CERTIFICATE OF FACSIMILE 37 CFR 1.8: I certify that this correspondence is being faxed to: Examiner James A. Kramer, at FAX #: 703-308-3687, TEL #: 703-305-5241 on the below date.

Date: 02/11/2004

[x] Debra A. Stengel or [ ] Scott J. Asmus, Reg. No. 42,269

*Debra A. Stengel***RESPONSE TO OFFICE COMMUNICATION DATED 01/23/2004**

Informality RE Payment of Fee

In response to the Office Communication of January 23, 2004 and the February 11, 2004 telephone conversation between Sonya Hilliard, of USPTO, and Neil Maloney, of Maine & Asmus, the Office is hereby authorized to charge \$320.00 to deposit account 500323. The Office is also hereby authorized to charge any deficiency or credit any overpayment in the fees relating to the submittal to deposit account 500323, registered to Vernon C. Maine P.L.L.C., dba Maine & Asmus, contact telephone no. 603-886-6100.

Transmitted herewith is 1 page Fee Transmittal Form PTO/SB/17 in the amount of

\$ 320.00 to cover balance due for additional claims.

03/25/2004 PLEWIS 00000004 500323 09736345

01 FC:2201

296.00 DA

Applicant requests that Examiner contact its attorney by telephone, facsimile, or email for quickest resolution, if there are any remaining issues.

Respectfully submitted,

*Vernon C. Maine*

Vernon C. Maine, Reg. No. 37,389  
Scott J. Asmus, Reg. No. 42,269  
Neil F. Maloney, Reg. No. 42,833  
Andrew P. Cernota, Reg. No. 52,711  
Attorneys for Applicant

Cus. No. 24222  
Maine & Asmus  
PO Box 3445  
Nashua, NH 03061-3445  
Tel. No. (603) 886-6100,  
Fax. No. (603) 886-4796 Info@maineandasmus.com

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: NAULT, Jacques

Group Art Unit: 3627

Serial No. 09/736,345

Examiner: KRAMER, James A.

Filed: 12/15/02

Dkt No: NAU14545-1

For: FINANCIAL STATEMENT MODULE

To: Mail Stop Non-Fee Amendment  
Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

**24222**

CERTIFICATE OF FACSIMILE 37 CFR 1.8: I certify that this correspondence is being faxed to: Sonya Hilliard, at  
FAX #: 703-308-3687, TEL #: 703-308-9032 on the below date.

Date: 03/25/2004

*Debra A. Stengel*  
[✓ Debra A. Stengel]

Commissioner:

**RESUBMISSION OF RESPONSE TO OFFICE COMMUNICATION DATED 01/23/2004**  
**Informality RE Payment of Fee**  
**Filed February 11, 2004**

Pursuant to a telephone conversation on March 25, 2004 between Ms. Sonya Hilliard, USPTO, and Debra Stengel, of Maine & Asmus, Applicant respectfully resubmits Response to Office Communication concerning Informality RE Payment of Fee, originally timely filed on February 11, 2004 via facsimile. According to Ms. Hilliard the original Response has not been entered into the USPTO's electronic system and has not been matched to the case file. Therefore, she suggested this resubmission.

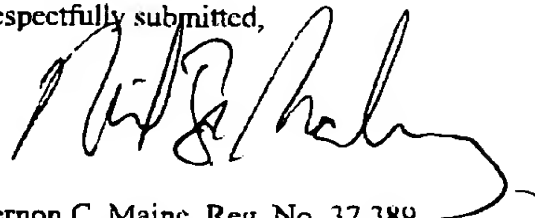
Applicant respectfully herein submits:

- 1) 1 page Response Office Communication concerning Informality RE Payment of Fee, submitted February 11, 2004;
- 2) 1 page Fee Transmittal, PTO/SB/17, in the amount of \$320.00, submitted February 11, 2004; and

3) 1 page Fax Activity Report, for Maine & Asmus, dated FEB 11, 2004, showing 2 page fax sent to fax number 703-308-3687 completed;

[X] DEPOSIT ACCOUNT 500323 AUTHORIZATION - All necessary fees relating to the attached submittal, if any, are intended to be included. However, the Office is hereby authorized to charge any deficiency or credit any overpayment in the fees relating to the submittal to deposit account 500323, registered to Vernon C. Maine P.L.L.C., dba Maine & Asmus, contact telephone no. 603-886-6100.

Respectfully submitted,



Vernon C. Maine, Reg. No. 37,389  
Scott J. Asmus, Reg. No. 42,269  
Neil F. Maloney, Reg. No. 42,833  
Andrew P. Cernota, Reg. No. 52,711  
Attorneys/Agents for Applicant

Cus. No. 24222  
Maine & Asmus  
PO Box 3445  
Nashua, NH 03061-3445  
Tel. No. (603) 886-6100, Fax. No. (603) 886-4796  
Info@maineandasmus.com

PTO/SB/17 (01-03)

Approved for use through 04/30/2003. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**FEE TRANSMITTAL  
for FY 2003**

Effective 01/01/2003. Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT**

(\$) 320.00

**Complete if Known**

Application Number	09/736,345
Filing Date	12/15/2002
First Named Inventor	NAULT, Jacques
Examiner Name	KRAMER, James A.
Art Unit	3627
Attorney Docket No.	NAU14545-1

**METHOD OF PAYMENT (check all that apply)**☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None☒ Deposit Account:Deposit  
Account  
Number  
Deposit  
Account  
Name

500323

Maine &amp; Asmus

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments☒ Charge any additional fee(s) during the pendency of this application☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	750	2001	375	Utility filing fee	
1002	330	2002	165	Design filing fee	
1003	520	2003	260	Plant filing fee	
1004	750	2004	375	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	
<b>SUBTOTAL (1)</b>					(\$)

**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

Total Claims		Extra Claims		Fee from below		Fee Paid	
Independent		20**	=		X		=
Multiple Dependent		3**	=		X		=

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1202	18	2202	9	Claims in excess of 20	
1201	84	2201	42	Independent claims in excess of 3	
1203	280	2203	140	Multiple dependent claim, if not paid	
1204	84	2204	42	** Reissue independent claims over original patent	
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent	
<b>SUBTOTAL (2)</b>					(\$)

\*\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	2053	65	Non-English specification	
1812	2,520	2812	2,520	For filing a request for <i>ex parte</i> reexamination	
1804	920*	2804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	2805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	410	2252	205	Extension for reply within second month	
1253	930	2253	465	Extension for reply within third month	
1254	1,450	2254	725	Extension for reply within fourth month	
1255	1,970	2255	985	Extension for reply within fifth month	
1401	320	2401	160	Notice of Appeal	
1402	320	2402	160	Filing a brief in support of an appeal	
1403	280	2403	140	Request for oral hearing	
1451	1,510	2451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,300	2453	650	Petition to revive - unintentional	
1501	1,300	2501	650	Utility issue fee (or reissue)	
1502	470	2502	235	Design issue fee	
1503	630	2503	315	Plant issue fee	
1480	130	2480	130	Petitions to the Commissioner	
1807	50	2807	50	Processing fee under 37 CFR 1.17(a)	
1806	180	2806	180	Submission of Information Disclosure Stmt	
8021	40	2821	40	Recording each patent assignment per property (times number of properties)	
1809	750	2809	375	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	750	2810	375	For each additional invention to be examined (37 CFR 1.129(b))	
1801	750	2801	375	Request for Continued Examination (RCE)	
1802	900	2802	900	Request for expedited examination of a design application	
Other fee (specify)				Balance of filing fee for additional claims	320
*Reduced by Basic Filing Fee Paid				<b>SUBTOTAL (3)</b>	(\$) 320

**SUBMITTED BY**

Name (Print/Type)	Neil F. Maoney	Registration No. (Attorney/Agent)	42,833	Telephone	603-886-6100
Signature		Date	2/11/2004		

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.